



# Corporate Application

Global Prime Pty Limited trading as Global Prime (Global Prime)

ABN: 146 086 017

AFSL: 385620

Date: 28 February 2023

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Global Prime Pty Limited (ABN 74 146 086 017) trading as Global Prime, is the issuer of the Global Prime Products described in this communication. Trading in Global Prime Products involves the potential for profit as well as the risk of loss which may vastly exceed the amount of your initial deposit and is not suitable for all investors. You should read all of these Financial Product Service Terms, the Product Disclosure Statement (PDS) and the Financial Services Guide (available on our website) carefully, consider your own financial situation, needs and objectives for investing in these Global Prime Products and obtain independent financial advice.

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## Registration Details

Registered email and account currency

Application Email Address

Trading Currency

AUD  USD  EUR  GBP  SGD  SGD

2

## Company Details

Tell us more about your Company

Full Name of Company (include Pty, Pte, Ltd)

Company Registration Number (ACN)

LEI (Legal Entity Identifier)

Date of Incorporation

Country of Incorporation

Address of Registered Office

Principal Place of Business

Government Regulating Body

Nature of Business

e.g. ASIC, ACRA, The Companies Registry (HK)

## Company Documents to Provide:

- Recent ASIC Extract (Australia) for “the Company”
- Certificate of Registration for “the Company”
- Constitution (if applicable) for “the Company”

All provided corporate documents should bear the regulating bodies seal/stamp or certification.

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## Individuals

Directors, Shareholders/UBOs

### Director - 1

Is this person the Primary Contact for this Account?

Yes  No

Given Name

Surname

Date of Birth

Residential Address

Email Address

Contact Number (include country code)

Passport/ID Number

Country of Residence

Are you a US Citizen or US Resident for tax purposes?

Yes  No

Are you PEP (Politically Exposed Person)?

Yes  No

**Director - 2**

Is this person the Primary Contact for this Account?

Yes  No

Given Name

Surname

Date of Birth

Residential Address

Email Address

Contact Number (include country code)

Passport/ID Number

Country of Residence

Are you a US Citizen or US Resident for tax purposes?

Yes  No

Are you PEP (Politically Exposed Person)?

Yes  No

**Director - 3**

Is this person the Primary Contact for this Account?

Yes  No

Given Name

Surname

Date of Birth

Residential Address

Email Address

Contact Number (include country code)

Passport/ID Number

Country of Residence

Are you a US Citizen or US Resident for tax purposes?

 Yes  No

Are you PEP (Politically Exposed Person)?

 Yes  No**Director - 4**

Is this person the Primary Contact for this Account?

 Yes  No

Given Name

Surname

Date of Birth

Residential Address

Email Address

Contact Number (include country code)

Passport/ID Number

Country of Residence

Are you a US Citizen or US Resident for tax purposes?

Yes  No

Are you PEP (Politically Exposed Person)?

Yes  No

### Shareholder/UBO 1 (>25% Ownership)

Given Name

Surname

Date of Birth

Residential Address

Passport/ID Number

Country of Residence

Are you a US Citizen or US Resident for tax purposes?

Yes  No

Are you PEP (Politically Exposed Person)?

Yes  No

### Shareholder/UBO 2 (>25% Ownership)

Given Name

Surname

Date of Birth

Residential Address

Passport/ID Number

Country of Residence

Are you a US Citizen or US Resident for tax purposes?

 Yes  No

Are you PEP (Politically Exposed Person)?

 Yes  No**Shareholder/UBO 3 (>25% Ownership)**

Given Name

Surname

Date of Birth

Residential Address

Passport/ID Number

Country of Residence

Are you a US Citizen or US Resident for tax purposes?

 Yes  No

Are you PEP (Politically Exposed Person)?

 Yes  No**Shareholder/UBO 4 (>25% Ownership)**

Given Name

Surname

Date of Birth

Residential Address

Passport/ID Number

Country of Residence

Are you a US Citizen or US Resident for tax purposes?

 Yes  No

Are you PEP (Politically Exposed Person)?

 Yes  No

### Authorized Person (If applicable)

The below individual is authorized to act in communication for "the Company" through their authorized email address, acting in full capacity for "the Company" in relation to Forex, CFDs and other derivative products offered by Global Prime Pty Ltd.

Given Name

Surname

Date of Birth

Residential Address

Email Address

Email Address Passport/ID Number

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Signature of Primary Contact



## 4

### Electronic Verification

To enable us to verify your identity, we may disclose personal information such as your name, date of birth and address to a Credit Reporting Agency (CRA) to obtain assessment of whether that personal information matches information held by the CRA and perform identity verification with the document issuer or official record holder.

The CRA may give us a report on that assessment and to do so we use personal information about you and other individuals in their files and from the document issuer or official record holder. Alternative means of verifying you are available on request. If we are unable to verify your identity using information by the CRA or from the document issuer or from official record holder we will provide you with notice to this effect and give you the opportunity to contact the CRA and document issuer or official record holder to update your information held by them or verify your identity using alternative method acceptable to us.

### Please provide us with the following documentation for all Trustee/Director/Beneficial Owners on the account:

**I have included all Primary Identification Documents (ID)**

Acceptable documents include: Passport, National ID, Driver's License, Voter's Card, Photo Card (reputable government sources).

**I have included all Proof of Residence documents (PoA)**

You may use a government issued document (full) or a bank statement (no older than 3 months). If you do not have this, or the address is not current, then you can take pay slips, mortgage statement, rental payment statement, utility bill, etc (no older than 3 months).

**I have included all Corporate supporting documentation (Corporate Docs)**

You may use Australian Company - registration certificates issued by ASIC, Including a recent ASIC extract, Registration Certificate/Certificate of Incorporation - identifying the full name of the company, date of incorporation, share capital, directors and shareholders.

## 5

### Declaration

To apply for a Margin Forex Exchange and Spot Metals account and proceed with ID requirements, please download and ensure you have read the following agreements which are available on our website at <https://www.globalprime.com/legal-documentation/>.

By making this declaration and submitting the application, I acknowledge that I am electronically accepting your Terms and Conditions and related documents and that this is a legally binding contractual agreement.

I confirm that I have read and understood the Product Disclosure Statement, Financial Product Services Terms, Financial Services Guide for Global Prime Pty Ltd (AFSL no. 385620) and I agree to be bound by these documents. I also confirm that I understand the full nature and risks of trading Forex, CFDs and other derivative products.

**Accept Declaration & Consent for ID Verification/Watchlists and PEPs**

Signature of Primary Contact	Signature of Director 2 (if applicable)	Signature of Director 3 (if applicable)
Full Name	Full Name	Full Name
<input type="text"/>	<input type="text"/>	<input type="text"/>
Title	Title	Title
<input type="text"/>	<input type="text"/>	<input type="text"/>
Date	Date	Date
<input type="text"/>	<input type="text"/>	<input type="text"/>

Return this form along with the required documents to [support@globalprime.com](mailto:support@globalprime.com). If you have any questions, contact us via LiveChat on our website.

## Trading Experience Survey

This should take less than 1min to complete. An ASIC requirement

1. Have you completed a short course, attended an educational seminar or training program on OTC Financial Products (CFDs and/or Margin Foreign Exchange)?  
 Yes  No
  
2. Is this your first trading account to trade OTC Financial Products (CFDs and/or Margin Foreign Exchange)? If yes, we encourage you to open a demo account and trade for practical experience.  
 Yes  No
  
3. Do you have any relevant experience for more than one year that provides you with a good understanding of the risks of trading OTC Financial Products (CFDs and / or Margin Foreign Exchange)?  
 Worked full time in the finance industry / financial markets for more than one year  
 Experience as a self directed investor for more than one year  
 No relevant experience for more than one year
  
4. What is your trading frequency and approximate number of trades in a year?  
 Daily or >100 trades  
 Weekly or >40 and <100 trades  
 Monthly or >10 and <40 Trades  
 Yearly or less <10 Trades
  
5. Do you have an industry recognised qualification for the type of trading that you intend to carry out with us, or in Finance related, Business, Law, Commerce or Economics.  
 Yes  No

## Forex Knowledge Quiz

This should take less than 1min to complete. An ASIC requirement

1. If your account is in margin call, does the broker have the right to liquidate one or more positions without notice to you?  
 Yes  No
2. If you receive notification that your account is in Margin Call, you must:  
 Open a new position  
 Reduce the size of your positions or deposit funds  
 Wait for the final margin call notification before you take any action
3. Higher leverage allows you to:  
 Trade a smaller position whilst lowering risk  
 Trade a larger position whilst increasing risk  
 Trade the same position size as an unleveraged product
4. The High degree of Leverage that is obtainable in trading Over-the-Counter (OTC) derivatives (foreign exchange and CFDs) can:  
 Work against you as well as for you  
 Only work against you  
 Only work for you  
 Not work against you or for you
5. Which of the following statements are true about trading Over-the-Counter (OTC) derivatives (foreign exchange and CFDs)?  
 They are complex products  
 They carry a higher degree of risk than traditional investments such as buying shares  
 You should not be trading Over-the-Counter (OTC) derivatives (foreign exchange and CFD's) without understanding the risk involved  
 All of the statements are true
6. What is the difference between the bid and ask price called?  
 Spread  
 Margin

Leverage

7. When trading margin financial products (foreign exchange and CFDs) can your losses exceed your initial deposit (i.e. due to market gaps)

Yes  No